



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Toomey, Christopher Newell

Docket No.: AOL0133

Serial No.: 10/759,596

Art Unit: 2137

Filed: January 15, 2004

Examiner: Khoshnoodi, N.

Title: METHOD AND SYSTEM FOR TRUST-BASED, FINE-GRAINED RATE  
LIMITING OF NETWORK REQUESTS

Date: March 06, 2007

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:  
☐ Certification under 37 CFR 1.97(e), or  
☒ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Certification under 37 CFR 1.97(e), and  
☐ a \$180.00 fee under 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☒ The Commissioner is authorized to charge \$180.00 fee and any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. AOL0133). A copy is enclosed for accounting purposes.



U.S. Serial No.: 10/759,596

Applicant(s) submit herewith PTO Form 1449 (Modified) -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449 (Modified), as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO Form 1449 (Modified) and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862



Attorney Docket No. AOL0133

U.S. Serial No.: 10/759,596

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty. Docket No.	Serial No.:
	AOL0133	10/759,596
	Applicant: Toomey, Christopher Newell	
	Filing Date: 01/15/2004	Group: 2137

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
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	C	6,308,274 B1	10/23/2001	Swift	713	201	6/12/1998

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	D	WO 02/101516 A2	12/19/2002	WO	G06F		X	

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	E	<u>Siebel 7 Integration With Baltimore Select Access 5.0</u> , Technical Integration Brief (July 28, 2002).
	F	VENTER, H.S., et al; <u>Vulnerabilities Categories For Intrusion Detection Systems</u> ; Computers & Security, Vol.21, No.7 P.617-19; Elsevier, 2002; UK;
	G	PULKKIS, G., et al; <u>Network Security Software Skills</u> ; Issues And Trends Of Information Technology Management In Contemporary Organizations. 2002 Information Resources Management; Association International Conference Part Vol.1 P.245-8 Vol.1; Idea Group Publishing, Hershey, PA, USA; 2002;
	H	GLOVER, I.; <u>The Rancour Of Infosecurity Recruitment</u> ; Secure Computing (International Edition) P.40-4; West Coast Publishing, Nov. 2000; UK;
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	J	MIDDLETON, B.; <u>Using The Hacker's Toolbox [Computer Network Security]</u> ; Security Management Vol.43, No.6 P.59-65; ASIS., June 1999; USA;

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	M	GORDON, S.; <u>Social Engineering: Techniques And Prevention</u> ; Proceedings Of COMPSEC International 1995 P.445-51; Elsevier, Oxford, UK;
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	O	BHATTACHARYYA, R.K.; <u>New Challenges For Telephone Companies To Secure Switching Systems</u> ; Proceedings. 25th Annual 1991 IEEE International Carnahan Conference On Security Technology (Cat. No.91CH3031-2) P.41-6; IEEE, New York, NY, USA; 1991;
	P	SEELEY, D.; <u>The Internet Worm, Password Cracking: A Game Of Wits</u> ; Communications Of The ACM Vol.32, No.6 P.700-3; June 1989; USA;
	Q	BAKER, R.; <u>Host Emulation And Other Threats</u> ; Computer Fraud & Security Bulletin Vol.11, No.6 P.11-13 April 1989, UK;
	R	BISHOP, M., et al; <u>Improving System Security Via Proactive Password Checking</u> ; Computers & Security V 14 N 3 1995. P 233-249; 1995;
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	T	SEELEY, D.; <u>Password Cracking: A Game Of Wits</u> ; Communications Of The ACM V 32 N 6 Jun 1989 P 700-703; 1989;
	U	NOUALI-TABOUDJEMAT, N., et al; <u>OPAL: A Single-Use Password Authentication System</u> ; Proceedings Of The IASTED International Conference. Internet And Multimedia Systems And Applications P.121-6; IASTED, Anaheim, CA, USA; 2000;
	V	SUNG-MING YEN; JUO-HONG LIAO; <u>Shared Authentication Token Secure Against Replay And Weak Key Attacks</u> ; Information Processing Letters Vol.62, No.2 P.77-80; 28 April 1997; Netherlands;
	W	HARTSON, H.R., et al; <u>A Model Of Enforcement Relationships Among Database Access Control Dependencies</u> ; Journal Of Systems And Software Vol.3, No.3 P.201-17; Sept. 1983; USA;
	X	MCKENNA, T.; <u>Eyes Wide Open: Carriers, Customers Find The Price Of Security Is Eternal Vigilance</u> ; Telecommunications Americas, 36, 10, 19(5); Sept, 2002;
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.